

RIVER TO SEA TRANSPORTATION PLANNING ORGANIZATION

RESOLUTION 2017-13

**RESOLUTION OF THE RIVER TO SEA TRANSPORTATION PLANNING ORGANIZATION
AUTHORIZING THE FILING AND EXECUTION OF A GRANT APPLICATION WITH THE FEDERAL
TRANSIT ADMINISTRATION FOR SECTION 5305(d) FUNDS**

WHEREAS, the Secretary of Transportation is authorized to make grants for mass transportation projects; and

WHEREAS, the contract for financial assistance will impose certain obligations upon the applicant, including the provision by it of the local project costs; and

WHEREAS, it is required by the U.S. Department of Transportation, in accordance with the provisions of Title VI of the Civil Rights Act of 1964, that in connection with the filing of an application for assistance under the Moving Ahead for Progress in the 21st Century Act (MAP-21), the applicant assures that it will comply with Title VI of the Civil Rights Act of 1964 and other pertinent directives and the U.S. Department of Transportation requirements thereunder; and

WHEREAS, it is the goal of the applicant that minority business enterprises (disadvantaged business enterprises and women business enterprises) be utilized to the fullest extent possible in connection with this project, and that definitive procedures shall be established and administered to ensure that minority businesses shall have the maximum feasible opportunity to compete for contracts and purchase orders when procuring construction contracts, supplies, equipment contracts, or consultant and other services:

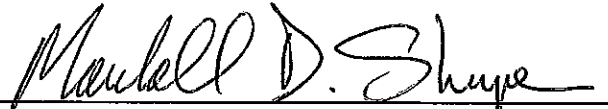
NOW, THEREFORE, BE IT RESOLVED by the River to Sea TPO that the:

1. Executive Director is authorized to execute and file the Section 5305(d) Planning funds application and execute the Section 5305(d) Joint Participation Agreement on behalf of the River to Sea TPO with the Florida Department of Transportation, to aid in the financing of transit plans and programs Volusia County, portions of Flagler County inclusive of the cities of Flagler Beach, Beverly Beach, and portions of Palm Coast and Bunnell;
2. Executive Director is authorized to execute and file with such application an assurance or any other document required by the U.S. Department of Transportation effectuating the purposes of this grant;

3. Executive Director is authorized to furnish such additional information as the U.S. Department of Transportation may require in connection with the application or the project; and the
4. Executive Director is authorized to set forth and execute minority business enterprise (disadvantaged enterprise and women enterprise) policies and procedures in connection with the project's procurement needs.

DONE AND RESOLVED at the regularly convened meeting of the River to Sea TPO held on the 24th day of May 2017.

RIVER TO SEA TRANSPORTATION PLANNING ORGANIZATION



**CITY OF FLAGLER BEACH COMMISSIONER MARSHALL SHUPE
CHAIRMAN, RIVER TO SEA TPO**

CERTIFICATE:

The undersigned duly qualified and acting Recording Secretary of the River to Sea TPO certifies that the foregoing is a true and correct copy of a resolution, adopted at a legally convened meeting of the River to Sea TPO held on May 24, 2017.

ATTEST:



**DEBBIE STEWART, RECORDING SECRETARY
RIVER TO SEA TRANSPORTATION PLANNING ORGANIZATION**

Application for Federal Assistance SF-424

* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input type="checkbox"/> New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
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* 3. Date Received: _____	4. Applicant Identifier: Not Applicable
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5a. Federal Entity Identifier: Not Applicable	5b. Federal Award Identifier: FL-80-0009
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State Use Only:

6. Date Received by State: _____	7. State Application Identifier: 1001
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8. APPLICANT INFORMATION:

*** a. Legal Name:** River to Sea Transportation Planning Organization

* b. Employer/Taxpayer Identification Number (EIN/TIN): 59-3512405	* c. Organizational DUNS: 1398928190000
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d. Address:

* Street1:	2570 W. International Speedway Boulevard, Suite 100
Street2:	_____
* City:	Daytona Beach
County/Parish:	_____
* State:	FL: Florida
Province:	_____
* Country:	USA: UNITED STATES
* Zip / Postal Code:	32114-8145

e. Organizational Unit:

Department Name: _____	Division Name: _____
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f. Name and contact information of person to be contacted on matters involving this application:

Prefix: Ms.	* First Name: Lois
Middle Name: _____	
* Last Name: Bollenback	
Suffix: _____	

Title: Executive Director

Organizational Affiliation:

* Telephone Number: 3862260422	Fax Number: 3862260428
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*** Email:** lbollenback@r2ctpo.org

Application for Federal Assistance SF-424

*** 9. Type of Applicant 1: Select Applicant Type:**

X: Other (specify)

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

* Other (specify):

Transportation Planning Org.

*** 10. Name of Federal Agency:**

Federal Transit Administration

11. Catalog of Federal Domestic Assistance Number:

20.505

CFDA Title:

Section 5303(d)

*** 12. Funding Opportunity Number:**

FL-80-0009

* Title:

Metropolitan Transportation Planning

13. Competition Identification Number:

Not Applicable

Title:

Not Applicable

14. Areas Affected by Project (Cities, Counties, States, etc.):

TPO Affected Area.pdf

Add Attachment

Delete Attachment

View Attachment

*** 15. Descriptive Title of Applicant's Project:**

Planning for Public Transportation Related Activities

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

Application for Federal Assistance SF-424

16. Congressional Districts Of:

* a. Applicant

* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

Add Attachment

Delete Attachment

View Attachment

17. Proposed Project:

* a. Start Date:

* b. End Date:

18. Estimated Funding (\$):

* a. Federal	<input type="text" value="204,914.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text" value="25,614.00"/>
* d. Local	<input type="text" value="25,614.00"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="256,142.00"/>

*** 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

*** 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

** I AGREE

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: * First Name:
Middle Name:
* Last Name:
Suffix:

* Title:

* Telephone Number: Fax Number:

* Email:

* Signature of Authorized Representative: 

* Date Signed:



RIVER TO SEA TPO: AREAS AFFECTED BY PROJECT:

- Volusia County and,
- Flagler Beach and Beverly Beach in Flagler County and,
- Portions of Flagler County and,
- Portions of the cities of Palm Coast and Bunnell

FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

**FEDERAL FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES FOR
FEDERAL TRANSIT ADMINISTRATION ASSISTANCE PROGRAMS**
(Signature pages alternative to providing Certifications and Assurances in TrAMS)

Name of Applicant: River to Sea Transportation Planning Organization

The Applicant agrees to comply with applicable provisions of (Categories 01 – 23.)

OR

The Applicant agrees to comply with applicable provisions of the Categories it has selected:

<u>Category</u>	<u>Description</u>	
01.	Required Certifications and Assurances for Each Applicant.	<input type="checkbox"/>
02.	Lobbying.	<input type="checkbox"/>
03.	Procurement and Procurement Systems.	<input type="checkbox"/>
04.	Private Sector Protections.	<input type="checkbox"/>
05.	Rolling Stock Reviews and Bus Testing.	<input type="checkbox"/>
06.	Demand Responsive Service.	<input type="checkbox"/>
07.	Intelligent Transportation Systems.	<input type="checkbox"/>
08.	Interest and Financing Costs and Acquisition of Capital Assets by Lease.	<input type="checkbox"/>
09.	Transit Asset Management Plan, Public Transportation Safety Program, and State Safety Oversight Requirements.	<input type="checkbox"/>
10.	Alcohol and Controlled Substances Testing.	<input type="checkbox"/>
11.	Fixed Guideway Capital Investment Grants Program (New Starts, Small Starts, and Core Capacity Improvement).	<input type="checkbox"/>
12.	State of Good Repair Program.	<input type="checkbox"/>
13.	Grants for Buses and Bus Facilities and Low or No Emission Vehicle Deployment Grant Programs.	<input type="checkbox"/>
14.	Urbanized Area Formula Grants Programs and Passenger Ferry Grant Program.	<input type="checkbox"/>
15.	Enhanced Mobility of Seniors and Individuals with Disabilities Programs.	<input type="checkbox"/>
16.	Rural Areas and Appalachian Development Programs.	<input type="checkbox"/>
17.	Tribal Transit Programs (Public Transportation on Indian Reservations Programs).	<input type="checkbox"/>
18.	State Safety Oversight Grant Program.	<input type="checkbox"/>
19.	Public Transportation Emergency Relief Program.	<input type="checkbox"/>
20.	Expedited Project Delivery Pilot Program.	<input type="checkbox"/>
21.	Infrastructure Finance Programs.	<input type="checkbox"/>
22.	Paul S. Sarbanes Transit in Parks Program.	<input type="checkbox"/>
23.	Construction Hiring Preferences.	<input type="checkbox"/>

FTA FISCAL YEAR 2017 CERTIFICATIONS AND ASSURANCES

FEDERAL FISCAL YEAR 2017 FTA CERTIFICATIONS AND ASSURANCES SIGNATURE PAGE
(Required of all Applicants for federal assistance to be awarded by FTA and all FTA Grantees with an active Capital or Formula Award)

AFFIRMATION OF APPLICANT

Name of the Applicant: River to Sea Transportation Planning Organization

Name and Relationship of the Authorized Representative: Lois Bollenback, Executive Director

BY SIGNING BELOW, on behalf of the Applicant, I declare that it has duly authorized me to make these Certifications and Assurances and bind its compliance. Thus, it agrees to comply with all federal laws, regulations, and requirements, follow applicable federal guidance, and comply with the Certifications and Assurances as indicated on the foregoing page applicable to each application its Authorized Representative makes to the Federal Transit Administration (FTA) in federal fiscal year 2017, irrespective of whether the individual that acted on his or her Applicant's behalf continues to represent it.

FTA intends that the Certifications and Assurances the Applicant selects on the other side of this document should apply to each Award for which it now seeks, or may later seek federal assistance to be awarded during federal fiscal year 2017.

The Applicant affirms the truthfulness and accuracy of the Certifications and Assurances it has selected in the statements submitted with this document and any other submission made to FTA, and acknowledges that the Program Fraud Civil Remedies Act of 1986, 31 U.S.C. § 3801 *et seq.*, and implementing U.S. DOT regulations, "Program Fraud Civil Remedies," 49 CFR part 31, apply to any certification, assurance or submission made to FTA. The criminal provisions of 18 U.S.C. § 1001 apply to any certification, assurance, or submission made in connection with a federal public transportation program authorized by 49 U.S.C. chapter 53 or any other statute.

In signing this document, I declare under penalties of perjury that the foregoing Certifications and Assurances, and any other statements made by me on behalf of the Applicant are true and accurate.

Signature



Date:

5/15/17

Name River to Sea Transportation Planning Organization
Authorized Representative of Applicant

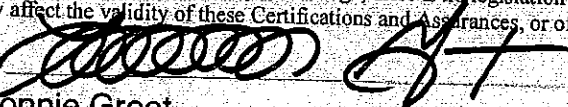
AFFIRMATION OF APPLICANT'S ATTORNEY

For (Name of Applicant): River to Sea Transportation Planning Organization

As the undersigned Attorney for the above named Applicant, I hereby affirm to the Applicant that it has authority under state, local, or tribal government law, as applicable, to make and comply with the Certifications and Assurances as indicated on the foregoing pages. I further affirm that, in my opinion, the Certifications and Assurances have been legally made and constitute legal and binding obligations on it.

I further affirm that, to the best of my knowledge, there is no legislation or litigation pending or imminent that might adversely affect the validity of these Certifications and Assurances, or of the performance of its FTA assisted Award.

Signature



Date:

5-8-17

Name Lonnie Groot
Attorney for Applicant

Each Applicant for federal assistance to be awarded by FTA and each FTA Recipient with an active Capital or Formula Project or Award must provide an Affirmation of Applicant's Attorney pertaining to the Applicant's legal capacity. The Applicant may enter its electronic signature in lieu of the Attorney's signature within FTA's electronic award and management system, provided the Applicant has on file and uploaded to FTA's electronic award and management system this hard-copy Affirmation, signed by the attorney and dated this federal fiscal year.

Section 5305(d)
Approved Project Budget for FFY17
(total dollars)

Technical Classifications:

44.21.00	Program Support and Administration	\$ 107,283
44.22.00	General Development and Comprehensive Planning	18,100
44.23.01	Long Range Transportation Planning: System Level	15,601
44.23.02	Long Range Transportation Planning: Project Level	_____
44.24.00	Short Range Transportation Planning	_____
44.25.00	Transportation Improvement Program	6,200
44.26.12	Coordination of Non-Emergency Human Service Transportation	_____
44.26.13	Participation of Transit Operators in Metropolitan Planning	104,535
44.26.14	Planning for Transit Systems Management/Operations to Increase Ridership	4,423
44.26.15	Support Transit Capital Investment Decisions through Effective Systems Planning	_____
44.26.16	Incorporating Safety & Security in Transportation Planning	_____
44.27.00	Other Activities	_____
Total Net Project Cost		<u>\$ 256,142</u>

Accounting Classifications

44.30.01	Personnel	\$ 143,432
44.30.02	Fringe Benefits	47,978
44.30.03	Travel	1,250
44.30.04	Equipment	_____
44.30.05	Supplies	1,250
44.30.06	Contractual	_____
44.30.07	Other	62,232
44.30.08	Indirect Charges	_____
Total Net Project Cost		<u>\$ 256,142</u>

Fund Allocations

44.40.01	MPO Activities	\$ 256,142
44.40.02	Transit Operator Activities	_____
44.40.03	State and/or Local Agency Activities	_____
Total Net Project Cost		<u>\$ 256,142</u>
Federal Share (80%)		<u>\$ 204,914</u>
Local Share (20%)		<u>\$ 51,228</u>

Accounting Classification	FPC	Description	Amount
91.37.08.8P-2	02	Technical Studies - Planning	<u>\$ 256,142</u>

Section 5305(d)
GMIS Planning Line Item Codes – FFY17
 (FTA Funds Only)

Technical Classifications:

44.21.00	Program Support and Administration	\$ 85,826
44.22.00	General Development and Comprehensive Planning	14,417
44.23.01	Long Range Transportation Planning: System Level	12,481
44.23.02	Long Range Transportation Planning: Project Level	_____
44.24.00	Short Range Transportation Planning	_____
44.25.00	Transportation Improvement Program	4,960
44.26.12	Coordination of Non-Emergency Human Service Transportation	_____
44.26.13	Participation of Transit Operators in Metropolitan Planning	83,692
44.26.14	Planning for Transit Systems Management/Operations to Increase Ridership	3,538
44.26.15	Support Transit Capital Investment Decisions through Effective Systems Planning	_____
44.26.16	Incorporating Safety & Security in Transportation Planning	_____
44.27.00	Other Activities	_____
Total Net Project Cost		\$ 204,914

Accounting Classifications

44.30.01	Personnel	\$ 114,746
44.30.02	Fringe Benefits	38,382
44.30.03	Travel	1,000
44.30.04	Equipment	_____
44.30.05	Supplies	1,000
44.30.06	Contractual	_____
44.30.07	Other	49,786
44.30.08	Indirect Charges	_____
Total Net Project Cost		\$ 204,914

Fund Allocations

44.40.01	MPO Activities	\$ 204,914
44.40.02	Transit Operator Activities	_____
44.40.03	State and/or Local Agency Activities	_____
Total Net Project Cost		\$ 204,914

FEDERAL FFY17 CERTIFICATION REGARDING LOBBYING


Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of her or his knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress in connection with the awarding of any Federal Contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress, in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-L.L.L, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000.00 and not more that \$100,000.00 for each such failure.


5-24-2017
Date

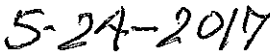

Chairperson for the MPO

FEDERAL FFY17 DEBARMENT AND SUSPENSION CERTIFICATION

As required by U.S. Regulations on Government wide Debarment and Suspension (Non-procurement) at 49 CFR 29.510

- (1) The Metropolitan Planning Organization hereby certifies to the best of its knowledge and belief, that it and its principles:
 - (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any federal department or agency;
 - (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, state or local) transaction or contract under a public transaction; violation of Federal or state antitrust statutes; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;
 - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, state or local) with commission of any of the offenses listed in paragraph (b) of this certification; and,
 - (d) Have not within a three-year period preceding this certification had one or more public transactions (Federal, state or local) terminated for cause or default.
- (2) The Metropolitan Planning Organization also hereby certifies that if, later, it becomes aware of any information contradicting the statements of paragraphs (a) through (d) above, it will promptly provide that information to the U.S. DOT.


Chairperson for the MPO


Date

FFY17 Title VI / Non-Discrimination Policy Statement

The River to Sea Transportation ^P₂ assures the Florida Department of Transportation that no person shall on the basis of race, color, national origin, sex, age, disability, family or religious status, as provided by Title VI of the Civil Rights Act of 1964, the Civil Rights Restoration Act of 1987 and the Florida Civil Rights Act of 1992 be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination or retaliation under any program or activity.

The River to Sea Transportation Planning Organization further agrees to the following responsibilities with respect to its programs and activities:

- 1 Designate a Title VI Liaison that has a responsible position within the organization and access to the subrecipient's Chief Executive Officer.
- 2 Issue a policy statement signed by the Chief Executive Officer, which expresses its commitment to the nondiscrimination provisions of Title VI. The policy statement shall be circulated throughout the subrecipient's organization and to the general public. Such information shall be published where appropriate in languages other than English.
- 3 Insert the clauses of Appendix A of this agreement in every contract subject to the Acts and Regulations.
- 4 Develop a complaint process and attempt to resolve complaints of discrimination against subrecipients. Complaints against the Florida Department of Transportation (FDOT) shall immediately be forward to the FDOT District Title VI Coordinator.
- 5 Participate in training offered on Title VI and other nondiscrimination requirements.
- 6 If reviewed by FDOT or the United States Department of Transportation, take affirmative action to correct any deficiencies found within a reasonable time period, not to exceed ninety (90) calendar days.
- 7 Have a process to collect racial and ethnic data on persons impacted by the subrecipient's programs.

This assurance is given in consideration of and for the purpose of obtaining any and all federal funds, grants, loans, contracts, properties, discounts or other federal financial assistance under all programs and activities and is binding. The person whose signature appears below is authorized to sign this assurance on behalf of the subrecipient.


Marshall D. Shyne 5-24-2017
Signature of Presiding Officer for the MPO/TPD Date of Signature

FFY17 Disadvantaged Business Enterprise Utilization

It is the policy of the River to Sea Transportation that disadvantaged businesses, as defined by 49 Code of Federal Regulations, Part 26, shall have an opportunity to participate in the performance of River to Sea Transportation contracts in a nondiscriminatory environment. The objects of the Disadvantaged Business Enterprise Program are to ensure nondiscrimination in the award and administration of contracts, ensure firms fully meet eligibility standards, help remove barrier to participation, create a level playing field, assist in development of a firm so it can compete successfully outside of the program, provide flexibility, and ensure narrow tailoring of the program.

The River to Sea Transportation Planning Organization, and its consultants shall take all necessary and reasonable steps to ensure that disadvantaged businesses have an opportunity to compete for and perform the work of the River to Sea Transportation Planning Organization in a non-discriminatory environment.

The River to Sea Transportation shall require its consultants to not discriminate on the bases of race, color, national origin, sex, age handicap/disability, or income status in the award and performance of its contracts. This policy covers in part the applicable federal regulations and the applicable statutory references contained therein for the Disadvantaged Business Enterprise Program Plan, Chapters 337 and 339, Florida Statutes, and Rule Chapter 14-78, Florida Administrative Code.


Signature of Presiding Officer for the MPO/TPO

5-24-2017
Date of Signature